DECLARATION OF DR. THOMAS OAKES

- My name is Dr. Thomas W. Oakes. I reside at 10303 Centinella Drive, La Mesa, California. 91941.
- I am the inventor of the "System and Method for Generating Hydrogen Gas Using Renewable Energy", for which U.S. Patent Application number 10/785,234 was filed on February 24, 2004. This U.S. Patent application was preceded by a formal Disclosure application # I529415 to the U.S. Patent & Trademark Office, dated April 8, 2003.
- 3. I received my doctorate degree from The University Of Utah in the field of Medical Sociology. I have a masters degree from Brigham Young University in the field of Personnel and Guidance. I also have a bachelors of science degree from Brigham Young University in the field of Psychology.
- I was an Assistant Professor of Sociology at Northern Arizona University from 1974 to 1978.
- 5. From 1982 to 1991 I carried out government contracted research and development. That work included awards for 7 Small Business Innovative Research (SBIR) awards and other direct contracts with the U.S. Navy. One of the SBIRs was with the U.S. Transportation Department focusing on improvements for solar cell photovoltaic electricity generation.
 Improvements were achieved in increasing electrical generation of such silicon cells and increases were designed, implemented, and tested to increase photovoltaic cell longevity.
- 6. In the 10/785,234 application, a proton exchanging membrane is described. The membrane may have a thickness of between about 50 microns and about 125 microns. The application identifies that one such membrane was available from the DuPont ® corporation. A person knowledgeable in the process of electrolysis would have understood that DuPont Nafion ® meets these technical and process guidelines. DuPont Nafion ® is a tradename for sulfonated tetrafluorethylene copolymer membrane.
- In addition, I have carried out testing and design research employing polyphenylene sulfide (PPS) polymer proton exchange membranes from Chevron

Phillips Chemical Company Engineering Polymers Group to further broaden my knowledge and understand of membrane technology in the process of splitting water to derived hydrogen. Chevron Phillips Chemical PPS functions as a proton exchange membrane with certain features that make it competitive to DuPont Nafion. Work has been carried out employing Chevron Phillips Chemical PPS membrane material in thickness ranging from 2 mills, 5 mills up to 25 mills in thickness.

8. All statements made of my own knowledge are true and that all statements made on information and belief are believed to be true. I understand and acknowledge that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize the validity of the application or any patent issuing thereon.

Dr. Thomas W Oakes PhD



U. S. DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Patent Disclosure Program

Form: http://www.uspto.gov/web/forms/sb0095.pdf

DISCLOSURE DOCUMENT RECEIPT NOTICE

Receipt of your <u>Disclosure Document and Government fee of \$10 (March 1999)</u> is acknowledged. The date of receipt and the <u>Disclosure Document identification number have been stamped on the attached duplicate copy of your request.</u>

This date and number should be referred to in all communications related to this <u>Disclosure Document.</u>

NOTICE TO INVENTORS

It should be clearly understood that a Disclosure Document is not a patent application, nor will its receipt date in any way become the effective filing date of a later filed patent application. A Disclosure Document may be relied upon only as evidence of conception of an invention and a patent application should be diligently filed if patent protection is desired.

Your Disclosure Document will be retained for two years after the date it was received by the Patent and Trademark Office (PTO) and will be destroyed thereafter unless it is referred to in a related patent application filed within the two-year period. The Disclosure Document may be referred to by way of a letter of transmittal in a new patent application or by a separate letter filed in a pending application. Unless it is desired to have the PTO retain the Disclosure Document beyond the two-year period, it is not required that it be referred to in the patent application.

The two-year retention period should not be considered to be a "grace period" during which the inventor <u>can wait</u> to <u>file his/her patent application</u> without possible loss of benefits. It must be recognized that in establishing priority of invention an affidavit or testimony referring to a Disclosure Document must usually also establish diligence in completing the invention or in filing the patent application since the filing of the Disclosure Document.

If you are not familiar with what is considered to be "diligence in completing the invention" or "reduction to practice" under the patent law or if you have other questions about patent "matteres" vou are advised to consult with an attorney or agent registered to practice before the PTO. The publicant Practice Before the United States Patent and Trademark Office, is Documents, Washington, DC 20402. Patent attorneys and agents are also major cities. Also, many large cities have associations of natent attorneys.

You are also reminded that any public use or sale in the United States or 1 the world more than one year prior to the filing of a patent application o of a patent on it.

529415

RETAINED FOR 2 YEARS :re in

THIS IS NOT A PATENT APPLICATION :nting

PTO-1652 (859)

Disclosures of inventions which have been understood and witnessed by persons and/or notarized are other examples of evidence which may also be used to establish priority.

There is a nationwide network of Patent and Trademark Depository Libraries (PTDLs), which have collections of patents and patent-related reference materials available to the public, including automated access to PTO databases. Publications such as General Information Concerning Patents are available at the PTDLs. To find out the location of the PTDL closest to you, please consult the complete listing of all PTDLs that appears in every issue of the Official Gazette or call the PTO Public Service Branch at (703) 308-HELP/4357. To ensure assignance from a PTDL staff member, you may wish to contact a PTDL prior to visiting to learn about its collections, services, and hours.

Address: CUMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Algorithm Patent Disclosure Program

Form: http://www.uspto.gov/web/forms/sb0095.pdf

DISCLOSURE DOCUMENT RECEIPT NOTICE

Receipt of your <u>Disclosure Document</u> and <u>Government</u> fee of \$10 (March 1999) is acknowledged. The date of receipt and the <u>Disclosure Document</u> identification number have been stamped on the attached duplicate copy of your request. This date and number should be referred to in all communications related to this <u>Disclosure</u> Document.

NOTICE TO INVENTORS

It should be clearly understood that a Disclosure Document is not a patent application, nor will its receipt date in any way become the effective filing date of a later filed patent application. A Disclosure Document may be relied upon only as evidence of conception of an invention and a patent application should be dilligently filed if patent protection is desired.

Your <u>Disclosure Document will be retained for two years</u> after the date it was received by the Patent and Trademark Office (PTO) and will be destroyed thereafter unless it is referred to in a related patent application filed within the two-year period. The Disclosure Document may be referred to by way of a letter of transmittal in a new patent application or by a separate letter filed in a pending application. Unless it is desired to have the PTO retain the Disclosure Document beyond the two-year period, it is not required that it be referred to in the patent application.

of in	two-year retention period should not be considered to be a "grace period" of this her patent application without possible loss of benefits. It must be recovered and a discourage of the patent and the patent and the patent and the invention or in filling the nation.	ognized that :	inventor can wait tablishing priority blish diligence in nt.
i a P D m.	10303 CENTRELLA CA 91941	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	or "reduction to to consult with ts Registered to erintendent of rectory of most
Yc the of a	ORDERY STATE OF THE STATE OF TH	Calson	n anywhere in it the granting
Disc exan	Vallede Orbande	destrict.	ed are other
There	and Trademark Depository Libraries (P	TDIs) which he	ve collections of

ner paten — patent-related reference materials available to the public, including automated access to PTO databases. Publications such as General Information Concerning Patents are available at the PTDLs. To find out the location of the PTDL closest to you, please consult the complete listing of all PTDLs that appears in every issue of the Official Gazette or call the PTO Public Service Branch at (703) 308-HELP/ 4357. To such as the property of the PTDL staff member, you may wish to contact a PTDL prior to visiting to learn about its collections, services, and hours.

APR 0 8 2003

INVENTION DISCLOSURE DOCUMENT

1) Title of Invention: Hydrogen By Solar Direct Photolysis Photolectrochemical Electrolyzer

2) Inventor(s)

Name

Address

a. Thomas W. Oakes, PhD

10303 Centinella Dr. La Mesa, Ca 91941

3) Date Idea First Conceived: November 20, 2001

4) Development of Invention:

a. Date of first drawing: Nov 20, 2001

Drawing No.: 1

b. Date of first written description (Other than this disclosure): Jan 15, 2002

c. Has it been tested? Yes

Date: November 15, 2002

d. Is there a model? Yes

Date Model Completed: Nov 15, 2002

e. Has this invention been used? Yes

Date: Nov 15, 2002

- f. Where or how was it used: Used at 10303 Centinella Dr, La Mesa, CA 91941. Unit placed in sun exposure several days in a row, where visual bubbles of hydrogen rose out of the device.
- 5) WITNESSES: Sign full name State whether disclosure was made by means of verbal discussion, drawings, model or written descriptions.

Name and Address

Date Disclosed

How Disclosed

a. Robert E. Oakes 587 Terra Ln, El Cajon, CA 92020 Nov 10, 2002 Observed prototype model under construction. Also observed hydrogen bubbles rising from device Nov 16, 2002.

Robert & Oaken

b. Kenton T. Oakes 1946 John towers Ave. El Cajon, CA 92020 Nov 10, 2002. Observed prototype model under construction.

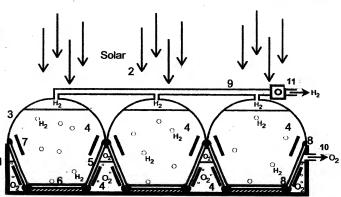
Kuter T. Dala

c. Paul Aston, 1950 John Towers Ave. El Cajon, CA 92020 Nov 10 - 14, 2002 Assisted in the construction of the projectype.

Page 1 of 5

♣ PROPRIETARY INFORMATION, RESTRICT DISTRIBUTION AND DISCLOSURE

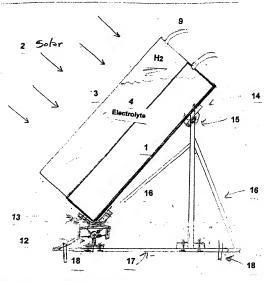
Figure 1. Photolysis, Solartolysis, electrochemical direct solar hydrogen production Apparatus Line Drawing of Case-Container, Solar Concentration, Aqueous Electrolyte, H2/O2 Water Splitting membrane, Photovoltaic Cell, and H2 and O2 Processing and Measurement



- 1. Case-Container
- 2. Solar Radiation
- 3. Solar Concentration Acrylic, Clear
- 4. Aqueous Electrolyte
- 5. Membrane in High Density Polyurethane Frame
- 6. Photovoltaic Cell
- 7. Electrodes
- 8. Epoxy Adhesion
- 9. Hydrogen Piping
- 10. Oxygen Piping
- 11. Continuous Hydrogen Production, Monitoring and measurement

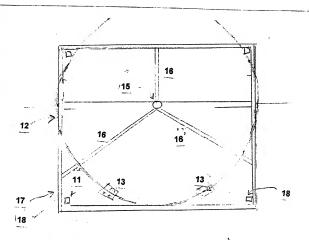
* PROPRIETARY INFORMATION, RESTRICT DISTRBUTION AND DISCLOSURE *

Figure 2. Photolysis Apparatus Line Drawing with Rotation Mechanism and Base Frame.



- 1. Case Container Attached to Rotator at 13 & 15
- 12. Track Base Round and Circular
- 13. Four point rolling guide attached to case (1) and grasping Track (11)
- 14. Grasp attached to case (1) and over pivot point.
- 15. Pivot Point of Rotation and post
- 16. Brace for pivot point post.
- 17. Base Frame
- 18. Leveling Legs for Attachment to building or ground.

Figure 3 Photolysis Apparatus Base Frame with Rotation Circular.



- 12. Track Base Round and Circular
- 13. Four point rolling guide attached to case (1) and grasping Track (11)
- 14. Grasp attached to case (1) and over pivot point.
- 15. Pivot Point of Rotation and post
- 16. Brace for pivot point post.
- 17. Base Frame
- 18 Leveling Legs for Attachment to building or ground.

An apparatus and method for performing photolysis or electrolysis with materials such as water, thereby electrically separating the electrolyte into its elemental components. More specifically, according to a preferred aspect of the instant invention, there is provided an apparatus for splitting water into hydrogen and oxygen that employs solar concentration on semiconductor photovoltaic cells under aqueous or polymeric electrolyte and specifically prepared anode and cathode materials, separated by a polymeric membrane that processes the electrolyte into hydrogen and oxygen under solar exposure. A preferred embodiment of this apparatus uses solar concentration though a curved lens focusing through an electrolyte on to an immersed photovoltaic cell or a polymeric electrolyte, there by producing and increasing the hydrogen and oxygen production out of the electrolyte from a given amount of solar radiation and included is a means to collect, purify, pressurize, and stored hydrogen produced for use in fuel cells to generate electricity or other energy needs.

8)	Signatures:

The undersigned certify that to the best of their knowledge, they are the original, first and sole inventor(s) of the above invention.

Inventor(s)

Date

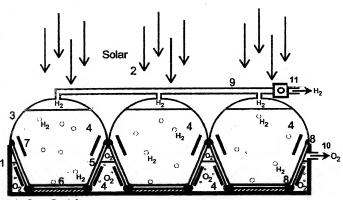
A. Linnar As Cakea March 15, 2003

Thomas W. Oakes, PhD

Page 5 of 5

♣ PROPRIETARY INFORMATION, RESTRICT DISTRIBUTION AND DISCLOSURE

Figure 1. Photolysis, Solartolysis, electrochemical direct solar hydrogen production Apparatus Line Drawing of Case-Container, Solar Concentration, Aqueous Electrolyte, H2/O2 Water Splitting membrane, Photovoltaic Cell, and H2 and O2 Processing and Measurement



- 1. Case-Container
- 2. Solar Radiation
- 3. Solar Concentration Acrylic, Clear
- 4. Aqueous Electrolyte
- 5. Membrane in High Density Polyurethane Frame
- Membrane in High
 Photovoltaic Cell
- 7. Electrodes
- 8. Epoxy Adhesion
- 9. Hydrogen Piping
- 10. Oxygen Piping
- 11. Continuous Hydrogen Production, Monitoring and measurement

NTION DISCLOSURE DOCUMENT

1) Title of Invention: Hydrogen By Solar Dire	ct Photolysis Photolectrochemical Electrolyzer			
2) Inventor(s)	• ,			
Name	Address			
a. Thomas W. Oakes, PhD 10303 Centi	nella Dr. La Mesa, Ca 91941			
3) Date Idea First Conceived: November 20, 2001				
4) Development of Invention:				
a. Date of first drawing: Nov 20, 2001	Drawing No.: 1			
b. Date of first written description (Other than this disclosure): Jan 15, 2002				
c. Has it been tested? Yes	Date: November 15, 2002			
d. Is there a model? Yes	Date Model Completed: Nov 15, 2002			
e. Has this invention been used? Yes	Date: Nov 15, 2002			
f. Where or how was it used: Used at 10303 Centinella Dr, La Mesa, CA 91941. Unit placed in sun exposure several days in a row, where visual bubbles of hydrogen rose out of the device.				
5) WITNESSES: Sign full name - State whether disclosure was made by means of verbal discussion, drawings, model or written descriptions.				
Name and Address Date Disclosed a. Robert E. Oakes 587 Terra Ln, El Cajon, CA 92020 Nov 10, 2002 Observed prototype model under construction. Also observed hydrogen bubbles rising from device Nov 16, 2002.				
Robert & Oalce	_			
b. Kenton T. Oakes 1946 John towers Ave. El prototype model under construction.	Cajon, CA 92020 Nov 10, 2002. Observed			

c. Paul Aston, 1950 John Towers Ave. El Cajon, CA 92020 Nov 10 - 14, 2002 Assisted in the

Page 1 of 5

construction of the prototype.